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|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/810,460 | 03/26/2004 | 2633 | 507 | | 12 | 24 | 6 |

Ajay R. Jain
 200 Western Ave.
 Brattleboro, VT 05301-6221

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Technology Center 2600

CONFIRMATION NO. 9328

FILING RECEIPT



OC000000012896556

Date Mailed: 06/08/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ajay R. Jain, Palo Alto, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/457,327 03/26/2003

Foreign Applications

If Required, Foreign Filing License Granted: 06/06/2004

Projected Publication Date: 09/30/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method and apparatus for simultaneous optical compensation of chromatic and polarization mode dispersion

Preliminary Class

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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FORMALITIES LETTER



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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Additional claim fees of \$43 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$43 for a Small Entity

- Total additional claim fee(s) for this application is \$43
 - \$43 for 3 independent claims over 3.

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